



FORM PTO-149 149 U.S. TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)	Attorney Docket Number	36531/RRT/S850
	Application Number	09/690,066
	Filing Date	October 16, 2000
	Applicant(s)	Craig L. Ogg, et al.
	Group Art Unit	2131
	Examiner Name	To be determined

U.S. PATENT DOCUMENTS						
EXAMINER INITIALS	DOCUMENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
MM 6/15/04	4,447,890	05/1984	Duwel et al.	364	900	
MM 6/15/04	4,725,718	02/1988	Sansone et al.	235	495	
MM 6/15/04	4,743,747	05/1988	Fougere et al.	235	104	
MM 6/15/04	4,757,537	07/1988	Edelmann et al.	380	51	
MM 6/15/04	4,775,246	10/1988	Edelmann et al.	380	23	
MM 6/15/04	4,812,994	03/1989	Taylor et al.	364	464.02	RECEIVED U.S. Patent and Trademark Office MAR 28 2001 Applicant Center 2100
MM 6/15/04	4,831,555	05/1989	Sansone et al.	364	519	
MM 6/15/04	4,853,865	08/1989	Sansone et al.	364	464.02	
MM 6/15/04	4,933,849	06/1990	Connell et al.	364	400	
F.D.	4,949,381	08/1990	Pastor	380	51	
	5,142,577	08/1992	Pastor	380	21	
	5,448,641	09/1995	Pintsov et al.	380	51	
	5,570,465	10/1996	Tsakanikas	395	114	
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	5,742,683	04/1998	Lee et al.	380	23	
	5,781,438	07/1998	Lee et al.	364	464.14	
	5,801,944	09/1998	Kara	364	464.2	
	5,825,893	10/1998	Kara	380	51	
	5,953,427	09/1999	Cordery et al.	380	51	
	5,987,441	11/1999	Lee et al.	705	401	
	5,988,897	11/1999	Pierce et al.	400	61	
F.D.	6,005,945	12/1999	Whitehouse	380	51	

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FORM PTO-1449
MAR 26 2001
INFORMATION DISCLOSURE
STATEMENT BY APPLICANT
MAR 26 2001
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Group Art Unit	2131
Examiner Name	To be determined

U.S. PATENT DOCUMENTS						
EXAMINER INITIALS	DOCUMENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
F.P.	6,026,385	02/2000	Harvey et al.	705	408	MAR 28 2001
F.B.	6,064,993	05/2000	Ryan, Jr.	705	403	Technology Center 2100

FOREIGN PATENT DOCUMENTS						
EXAMINER INITIALS	DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION
						YES NO

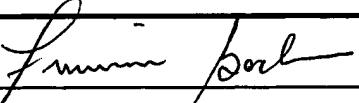
OTHER DOCUMENTS

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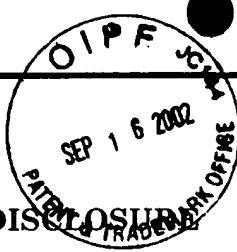
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FORM PTO/SB/08A/B (10-01)
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U.S. PATENT DOCUMENTS

EXAMINER INITIALS	Cite No. ¹	DOCUMENT NUMBER Number - kind code ² . (If known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
F.B		5,319,562	06-07-1994	Whitehouse
F.B		5,768,132	06-16-1998	Cordery et al.
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EXAMINER INITIALS	Cite No. ¹	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (If known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶ (✓)

OTHER DOCUMENTS

EXAMINER INITIALS	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

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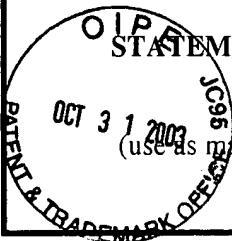
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FORM PTO/SB/08A/B (10-01)
Substitute for PTO-1449A/B

INFORMATION DISCLOSURE

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Attorney Docket Number	36531/RRT/S850
Application Number	09/690,066
Filing Date	October 16, 2000
Applicant(s)	Craig L. Ogg, et al.
Group Art Unit	2134
Examiner Name	Gregory Allen Morse

U.S. PATENT DOCUMENTS

EXAMINER INITIALS	Cite No. ¹	DOCUMENT NUMBER Number - Kind Code ² (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
gfa 6/15/04		4,837,702	06-06-1989	OBREA
gfa 6/15/04		5,671,146	09-23-1997	WINDEL, et al.
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gfa 6/15/04		6,415,983 B1	07-09-2002	ULVR, et al.
gfa 6/15/04		6,567,794 B1	05-20-2003	CORDERY, et al.

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EXAMINER INITIALS	Cite No. ¹	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (If Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶ (<input checked="" type="checkbox"/>)
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EXAMINER INITIALS	Cite No. ¹	DOCUMENT NUMBER Number - Kind Code ² (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
F.B.		4,980,542	12-25-1990	JACKSON, et al.
		5,600,562	02-04-1997	GUENTHER
		5,655,023	08-05-1997	CORDERY, et al.
		5,796,841	08-18-1998	CORDERY, et al.
		6,010,156	01-04-2000	BLOCK
		6,233,565 B1	05-15-2001	LEWIS, et al.
		6,341,274 B1	01-22-2002	LEON
		6,381,589 B1	04-30-2002	LEON
		6,424,954 B1	04-23-2002	LEON
		2001/0034716 A1	10-25-2001	GOODWIN
F.B.		2002/0023057 A1	02-21-2002	GOODWIN, et al.

FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	Cite No. ¹	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (If Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶ (<input checked="" type="checkbox"/>)
F.B.		WO 98/57460	12-17-1998	CORDERY, et al.	

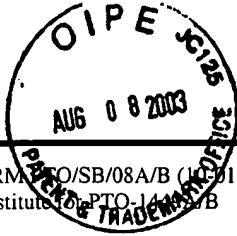
OTHER DOCUMENTS

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F.B.		PASTOR, Jose; CRYPTOPOST™ - A Cryptographic Application to Mail Processing; Journal of Cryptology; 1991; 137-146pp.; Vol. 3; Number 2; International Association for Cryptologic Research
F.B.		The United States Postal Service (USPS) Engineering Center; INFORMATION-BASED INDICIA PROGRAM (IBIP) INDICUM SPECIFICATION; June 13, 1996; 22pp.

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Applicant(s)	Craig L. Ogg, et al.
Group Art Unit	2134
Examiner Name	Gregory Allen Morse

OTHER DOCUMENTS

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F.B.		The United States Postal Service (USPS); INFORMATION-BASED INDICIA PROGRAM (IBIP); PERFORMANCE CRITERIA FOR INFORMATION-BASED INDICIA AND SECURITY ARCHITECTURE FOR CLOSED IBI POSTAGE METERING SYSTEMS (PCIBI-C); January 12, 1999; 49pp.
		The United States Postal Service (USPS); INFORMATION-BASED INDICIA PROGRAM (IBIP); PERFORMANCE CRITERIA FOR INFORMATION-BASED INDICIA AND SECURITY ARCHITECTURE FOR OPEN IBI POSTAGE EVIDENCING SYSTEMS (PCIBI-O); June 25, 1999; 76pp.
		TYGAR, J.D. and YEE, Bennet; Cryptography: It's Not Just For <i>Electronic</i> Mail Anymore; School of Computer Science; March 1, 1993; 1-21pp.; Carnegie Mellon University, Pittsburg, PA, USA
		TYGAR, J.D. and YEE, Bennet; Dyad: A System for Using Physically Secure Coprocessors; School of Computer Science; May 4, 1991; 1-36pp.; Carnegie Mellon University, Pittsburg, PA, USA
		U.S. Application Serial No. 09/585,025 filed June 1, 2000, "Online Value Bearing Item Printing", 125 pp.
		U.S. Application Serial No. 09/688,451 filed October 16, 2000, " Auditing Method and System for an On-Line Value-Bearing Item Printing System, 105pp.
		U.S. Application Serial No. 09/688,452 filed October 16, 2000, "Role Assignments in a Cryptographic Module for Secure Processing of Value-Bearing Items", 105pp.
		U.S. Application Serial No. 09/688,456 filed October 16, 2000, "Cryptographic Module for Secure Processing of Value- Bearing Items", 109pp.
		U.S. Application Serial No. 09/690,083 filed October 16, 2000, "Cryptographic Module for Secure Processing of Value-Bearing Items", 109pp.
		U.S. Application Serial No. 09/690,243 filed October 17, 2000, "Method and Apparatus for On-Line Value-Bearing Item System", 66pp.
		U.S. Application Serial No. 09/690,796 filed October 17, 2000, "Secure and Recoverable Database for On-Line Value-Bearing Item System", 71pp.
		U.S. Application Serial No. 09/692,746 filed October 18, 2000, "Method and Apparatus for Digitally Signing an Advertisement Area Next to a Value-Bearing Item", 61pp.
F.B.		U.S. Application Serial No. 09/692,747 filed October 18, 2000, "Machine Dependent Login For On-Line Value-Bearing Item System", 62pp.

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F.B.		U.S. Application Serial No. 09/692,829 filed October 18, 2000, "Postal System Intranet and Commerce Processing for On-Line Value-Bearing System", 179pp.
		U.S. Application Serial No. 09/788,069 filed February 16, 2001, "On-Line Value-Bearing Indicium Printing Using DSA", 43pp.
F.B.		U.S. Application Serial No. 10/083,236 filed February 26, 2002, "Secured Centralized Public Key Infrastructure", 101pp.

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